

12-06-05

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ole K. Nilssen  
Application No.: 07/020,478  
Filing Date: 03/02/87  
Patent No.: 4,857,806  
Title: Self-ballasted screw-in fluorescent lamp

Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NOTIFICATION OF ERROR IN PAYMENT OF FEES AS A  
SMALL ENTITY (37 CFR § 1.28(C))**

**Filing of Small Entity Statement**

1. On March 2, 1987, a small entity assertion was filed in this patent.
2. The assertion of small entity status in this application and the payment of fees as a small entity were made in good faith.
3. It has now been discovered that the assertion of small entity status was incorrect on February 14, 1997 and January 11, 2001, and alleged in litigation to be incorrect on March 29, 1993. In an abundance of caution and to eliminate any possible concerns regarding incorrect maintenance fee payments, I am submitting this notification.

**Itemization of the Fee(s) Paid as Small Entity**

4.

<u>DATE OF FEE PAYMENT</u>	<u>CURRENT FEE AMOUNT FOR NON- SMALL ENTITY</u>	<u>FEE ACTUALLY PAID AS A SMALL ENTITY</u>	<u>AMOUNT OWED</u>
Maintenance Fee, 4th Year paid on March 29, 1993	\$ 900.00	\$ 400.00	\$ 500.00
Surcharge for Maintenance Fee, 4th Year paid March 29, 1993	\$ 130.00	\$ 65.00	\$ 65.00
Maintenance Fee, 8th Year paid on February 14, 1997	\$ 2,300.00	\$1,025.00	\$1,275.00
Maintenance Fee, 12th Year, paid on January 11, 2001	\$3,800.00	\$1,495.00	\$2,305.00

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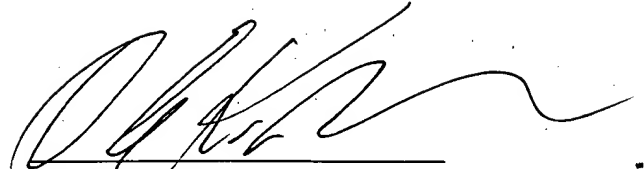
**Total Amount Owed: \$4,145.00**

**Payment**

5. The total amount owed is paid as follows:

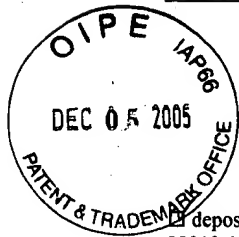
Attached is a check in the amount of \$4,145.00.

Date: December 2, 2005



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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is **mandatory**;  
*Express Mail certification is optional.*)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**37 C.F.R. § 1.8(a)**

☐ with sufficient postage as first class mail.

**37 C.F.R. § 1.10\***

☒ as "Express Mail Post Office to Addressee"

Mailing Label No. ED 078164903 US (mandatory)

**TRANSMISSION**

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_ - \_\_\_\_\_.

Date: DECEMBER 5, 2005

Catherine Winkofsky  
Signature

CATHERINE WINKOFSKY  
(type or print name of person certifying)

\*Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date of any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.